

# The CLARAty Architecture for Robotic Autonomy<sup>†</sup>

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*Abstract*— This paper presents an overview of a newly developed Coupled Layer Architecture for Robotic Autonomy (CLARAty), which is designed for improving the modularity of system software while more tightly coupling the interaction of autonomy and controls. First, we frame the problem by briefly reviewing previous work in the field and describing the impediments and constraints that been encountered. Then we describe why a fresh approach of the topic is warranted, and introduce our new two-tiered design as an evolutionary modification of the conventional three-level robotics architecture. The new design features a tight coupling of the planner and executive in one Decision Layer, which interacts with a separate Functional Layer at all levels of system granularity. The Functional Layer is an object-oriented software hierarchy that provides basic capabilities of system operation, resource prediction, state estimation, and status reporting. The Decision Layer utilizes these capabilities of the Functional Layer to achieve goals by expanding, ordering, initiating and terminating activities. Both declarative and procedural planning methods are used in this process. Current efforts are targeted at implementing an initial version of this architecture on our research Mars rover platforms, Rocky 7 and 8. In addition, we are working with the NASA robotics and autonomy communities to expand the scope and participation in this architecture, moving toward a flight implementation in the 2007 time-frame.

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## 1. BACKGROUND OF THIS EFFORT

### *History Outside of JPL*

The development of Robotics and Autonomy architecture is as old as the field itself. Therefore, it is not possible here to

completely review the body of work upon which this effort builds. Instead, we will simply describe some of the more recent or dominant trends influencing the new architecture presented in this document.

Efforts in robotic architectures have largely arisen from a pragmatic need to structure the software development for ease of system building. As such, they have grown in scope and complexity as the corresponding systems have grown. Early efforts concentrated in detailed software packages [19], or general frameworks [2]. Only in the last decade, with the emergence of fast computers with real-time operating systems, have infrastructures been designed as open-architecture controllers of modern robot systems [33][28][10].

In parallel with robot control efforts, artificial intelligence systems for planning/scheduling and execution were developed which relied on underlying closed-architecture robot controllers [15][30]. The tendency of these systems to be slow and computationally costly led to the emergence of a minimalist school of thought using Behavior Control [11]. But with faster control layers available, and a general desire to leverage planning functionality, newer systems implement a multi-tiered approach that includes planning, execution, and control in one modern software framework [1][3].

While these end-to-end architectures have been prototyped, some problems have emerged. First, there is no generally accepted standard, preventing leverage of the entire community's effort. This problem has lead to the second, which is that implemented systems have typically emerged as a patchwork of legacy and other code not designed to work together. Third, robotics implementations have been slow to leverage the larger industry standards for object-oriented software development, within the Unified Modeling Language (UML) framework. Therefore, we believe the time is ripe to revisit robotics and autonomy efforts with fresh effort aimed at addressing these shortcomings.

### *History Inside of JPL*

The Jet Propulsion Laboratory, California Institute of Technology (JPL) has a long history in building remotely commanded and controlled spacecraft for planetary exploration.

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Most of this effort has concentrated on very simple and robust execution of linear sequences tediously created by ground controllers. Areas where expertise has concentrated on sophisticated on-board closed loop control have been largely outside of the traditional areas of robotics, falling instead in the realm of aerospace guidance and navigation. Further, the implementation of these solutions have been in hand tailored software solutions, optimized for specific spacecraft and limited CPU and memory. Only more recently have concepts from robotics and autonomy started to be used or considered for flight missions [25][24].

Therefore, the history of robotic efforts at JPL has been primarily within the research program. The oldest of these efforts were in the areas of manipulator and teleoperation systems, and had limited software or software architecture components [8]. One of the first major software architecture efforts was within the Telerobotic Testbed, a large research effort for developing autonomous, multi-robot, satellite servicing [7]. While a very complex system conforming to the NASREM architecture [2], it relied on several subsystems using disparate software paradigms. Except through the diffuse efforts of the individual research participants and their subsequent assignments, little of this software structure survived the demise of the Testbed. Afterward, many smaller on-orbit manipulator research projects existed, each with their own software implementation: Remote Surface Inspection (C and VxWorks), Satellite Servicing (C and assembly), MOTES (Ada and VxWorks), etc. [36][9][5]. Each of these efforts provided parallel duplication of similar functionality with minimal code sharing due to architectural differences.

In parallel with these robot manipulation efforts were several mobile robot efforts, each developing software infrastructure in relative isolation. At about the same time as the Testbed, there was the development of a large Mars Rover platform name Robby, using C and VxWorks [38]. Research with Robby ended as there was a paradigm shift from large rovers with software for deliberative sensing and planning, to small rovers with reactive behaviors [18]. The fourth of these “Rocky” vehicles, programmed in C and Forth without an underlying operating system, sold the concept of placing the Sojourner rover on the Pathfinder Mission. However, Sojourner itself was programmed with software written from scratch, not inherited from its predecessors.

Only as Sojourner was being built did new rover research begin to address the problem of providing a software infrastructure with modularity, reconfigurability, and code re-use implicit in the design. To this end, a new rover, *Rocky 7*, was built, and its development team selected the ControlShell C++ software development environment hoping to set it as a new standard [28][35]. But as subsequent research rover efforts were started, a new spectrum of control infrastructures re-emerged in rover tasks (e.g. FIDO, DARPA TMR, Nanorover, etc), similar to the situation seen in manipulation tasks half a decade before [27][41][34].

In the same time-frame as the construction of Sojourner and *Rocky 7* there was a large scale effort in Autonomy and Control for flight, but targeted for cruise and orbit, not surface operations. Under the aegis of the Deep Space One project

and later renamed the Remote Agent Experiment (RAX) [25], this was a collaborative effort between JPL and NASA Ames Research Center (ARC). Emerging from it, was a determination at JPL to build a fundamentally new software architecture for all future missions, named the Mission Data System [13]. MDS is a state-based, object-oriented architecture that moves away from previous mission control concepts which are sequence-based. While it was originally targeted for orbital insertion and outer-planet missions, it is now addressing a Mars surface mission scheme for its first application.

Therefore, given the large efforts in software architecture development at JPL under the MDS flag, and given the history of divided efforts in the robotics research community, it is the objective of authors of this report to put forth a new framework for robot software at JPL and beyond. This report outlines the results for the first year, describing the broad design of the resultant CLARAty architecture, providing some initial implementation efforts, and outlining the directions for upcoming construction of end-to-end rover control software under this new framework.

## 2. THE CHALLENGE

Having briefly reviewed the history of robot control architectures, it is apparent that more work is required. In this section we will summarize the impediments to success that have existed in the past, outline the reasons for attempting to overcome them with a new architecture, and describe the constraints on the solution to be provided.

### *Impediments to Success*

There are numerous impediments to the success of control frameworks for robotics systems. These may be categorized as follows:

*Programmatic Vision* — Implicit in the success of any research endeavor is the need to sustain the effort with funding, especially early in its development. Typically it has been difficult to maintain significant research funding for control architecture development. This is primarily because the end product is infrastructure, not a new robot system or algorithm. While this new infrastructure might enable better or faster system and algorithm development, such indirect results have been difficult to sell programmatically.

*Not Invented Here (NIH)* — For the most part, autonomy and robotic systems are still in the domain of research products, and not commercial products. Therefore, it is typical for each research team to want to develop and grow its own products. This expresses their inventiveness, as well as giving their work a unique signature used in promotion of their results.

*Fear of unknown products* — Closely tied to NIH, is the fact that research products from outside of one’s team have varying and unknown levels performance, quality, and support. Therefore, use of other’s products might not only dilute one’s research identity, but consume valuable effort while trying to

adopt them.

*Flexibility* — Also, because of the research nature of robotics, there is still no absolute consensus on how to best solve the problems that exist, or even which are the most important problems to solve. Therefore, researchers often desire maximum flexibility from their hardware and software, to meet the specific needs of new projects. This desire for flexibility is often at odds with any software framework that is not specifically tailored to the task. Simply put, no one architecture can be optimal for all problems, and if one is too flexible it quickly loses any structure that gives it value.

*Overhead* — Often coupled to a desire for flexibility is a need to optimize performance. This comes in the form of computational overhead for the robot system, as well as system building overhead, encountered in the use of software development products which are unfamiliar or unwieldy.

*Critical Mass* — Even if a new software infrastructure is recognized as being valuable, that value might not be realized unless a large enough group of researchers chooses to standardize around it. Once such a group exists and provides critical mass, the standard enables much easier exchange of ideas and software, which in theory can “snowball”. However, it is a difficult decision for any one research team to join a new standard until critical mass has been reached. This is because any external standard will require overhead, while the benefits may only come after critical mass is achieved.

*Learning Curve* — Human nature and conservative logistics of any research program provide a resistance to abandoning well known and understood methods for new ones that require an investment of time to learn. This is especially true when projects are on short development cycles, which has been more true in recent years.

*Technical Vision* — Because most researchers have had to develop infrastructure to build their systems, they have developed opinions about their preferred solutions. While many may be willing to abandon these solutions in favor of an external product, some will surely have a technical vision which is at odds with external products. Depending on the strengths of their convictions, these researchers may not join the larger community in the use of an external architecture standard. Independent of the implications for their own research, the loss of their participation is likely to be detrimental to the community.

### *Needs for a New Start*

Given these impediments to the acceptance of a unifying architecture, one may wonder why there should be an impetus for its creation. The primary reason is that which drives the desire for robotics in the first place: elimination of the need for people to waste their time on lesser endeavors. There are three paths to this goal.

1. Elimination of duplicative efforts which prevent attainment of critical mass:

*Parallel Duplication* — As previously discussed, there are often duplicative efforts within both robotic manipulation and mobility research. This diminishes the final products by wasting resources on solving the same problems, with different infrastructure, at the same time.

*Serial Duplication* — It is also evident that as new research tasks start, they often wipe the slate clean to eliminate old system problems and lack of familiarity or trust with previous products. Typically, the only software with legacy is due solely to a single individual, not the local community. Obviously, without the ability to bridge to group ownership, transfer outside of institutions is even more restricted.

2. Follow software community lead:

*Open source movement* — The value of shared software has been dramatically illustrated by Linux, GNU, and other share/free ware products. Typically this has existed within the desktop PC market, but there is no obvious reason why this model cannot be leveraged by software within the robotics community. As evidence of this fact, there has recently been an announcement for Intel sponsorship of an open source Computer Vision Library [20].

*Object-oriented design* — Complementary to the open source movement, has been the growth of object-oriented design for PC software. In much of the commercial software industry it dominates. However, this paradigm is largely under-utilized in robotics, isolating the community. Further, it promises to better facilitate software sharing, discussed next.

3. Leverage complimentary efforts:

*Software sharing* — To build critical mass amongst a worldwide but relatively small robotics community, it would be extremely beneficial to have an architecture framework that was widely accepted. Not only would this enable easier sharing of design concepts, but, more importantly, it would enable the direct transfer of software to all parties. Even sharing amongst the limited communities of JPL and NASA is currently arduous and therefore rare. A first step would be to eliminate these hurdles completely.

*Mission Data System and X2000* — Recently, NASA has invested heavily in large scale efforts in spacecraft hardware (X2000) and software (MDS) which promise an infrastructure to be leveraged and expanded [39][13]. It is to the benefit of NASA robotics efforts to leverage these products where applicable. Since the spacecraft control problem is very similar to the general robotics problem, it is anticipated that there is much to be gained by this leveraging. Obviously other sources of relevant technology will exist outside of this limited set, and will also be incorporated when applicable.

### *Constraints on the Solution*

Given these needs, there are several issues that will constrain the success of an architectural solution. First, there is a need for community acceptance. Without acceptance by the robotics and autonomy community, both from users and de-

velopers, there can not be a success. Full acceptance is probably not possible, or even desirable in a growing research area. However, as described previously, it is important to reach a level of critical mass, so that users and developers gain more than they lose from adherence to standards and participation in software exchange.

Second, it is vital to span the many divides within the necessary user and developer communities. These divides exist in many forms, between and within robotics and AI research areas. They can result from a desire to solve different types of robotics problems, all the way from parts assembly to humanoid interfaces. Or they can result from an emphasis on different phases of product life cycles, from basic research to fielded systems. Within and across institutions, the differences can be cultural as well, spanning departments from mechanical engineering to computer science, and organizations from academia to commercial companies.

Third, there is a desire to leverage existing software in research and NASA flight efforts. In particular, at JPL there has been a substantial effort in the new MDS, which is very similar to the architecture work described herein, but has been largely focused on the problems of zero-gravity spacecraft, not robots operating on planetary surfaces.

Finally, it is a requirement to leverage standard practices in industry. This is needed to avoid reinvention of the wheel, and enable NASA robotics efforts to adopt techniques and solutions commonly employed in commercial products, and within the global software community.

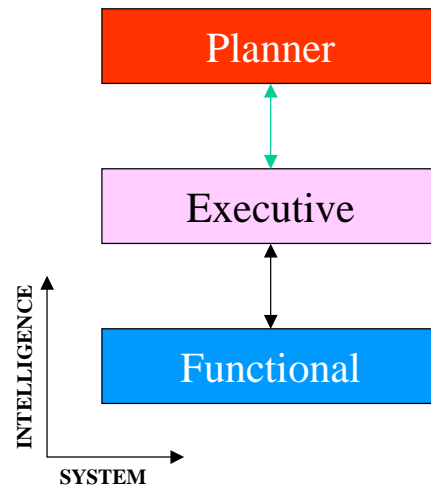
### 3. THE CLARATY ARCHITECTURE

In response to these needs and requirements we have developed the initial framework for a new Autonomous Robot software architecture. Due to its structure, it is called the Coupled Layer Architecture for Robotic Autonomy, or CLARATy. This section will review this new structure, and its evolutionary differences from its predecessors. It will introduce the two layers of the architecture and provide an overview of the interaction between them.

#### *Review of Three-Level Architecture*

Typical robot and autonomy architectures are comprised of three-levels — Functional, Executive, and Planner as shown in Figure 1 [17][31][1].

The dimension along each level can be thought of as the breadth of the system in terms of hardware and capabilities. The dimension up from one layer to the next can be thought of as increasing intelligence, from reflexive, to procedural, to deliberative. However, the responsibilities and height of each level are not strictly defined, and it is more often than not the case that researchers in each domain expand the capabilities and dominance of the layer within which they are working. The result are systems where the Functional Layer is dominant [29][37][23], or the executive is dominant [31][10] or the the planner is dominant [15][14]. Further, there is still considerable research activity which blurs the line between



**Figure 1.** Typical three-level architecture.

Planner and Executive, and questions the hierarchical superiority of one over the other [21][16].

Another problem with this description is lack of access from the Planner to the Functional Level. While this is typically the desirable configuration during execution, it separates the planner from information on system functionality during planning. One consequence is that Planners often carry their own separate models of the system, which may not be directly derived from the Functional Level. This repetition of information storage often leads to inconsistencies between the two.

A third problem with this description is the apparent equivalence of the concepts of increasing intelligence with increasing granularity. In actuality, each part can have its own hierarchy with varying granularity. The Functional Layer is comprised of numerous nested subsystems, the executive has several trees of logic to coordinate them, and the planner has several time-lines and planning horizons with different resolution of planning. Therefore, granularity in the system may be misrepresented by this diagram. Worse, it obscures the hierarchy that can exist within each of these system levels.

#### *Proposed Two-Layer Architecture*

To correct the shortfalls in the three-level architecture, we propose an evolution to a two-tiered Coupled Layer Autonomous Robot Architecture (CLARATy), illustrated in Figure 2. This structure has two major advantages: explicit representation of the system layers' granularity as a third dimension<sup>1</sup>, and blending of the declarative and procedural techniques for decision making.

The addition of a granularity dimension allows for explicit representation of the system hierarchies in the Functional Layer, while accounting for the *de facto* nature of planning horizons in the Decision Layer. For the Functional Layer, an object oriented hierarchy describes the system's nested en-

<sup>1</sup>The convention employed here is to consider lower granularity to mean smaller granule sizes.

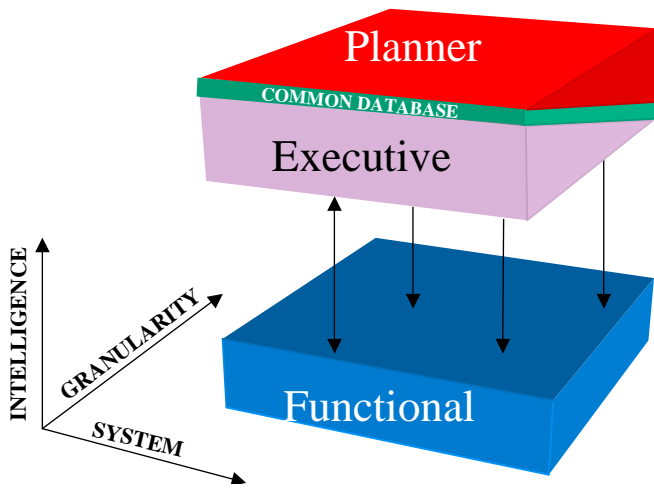


Figure 2. Proposed two-layer architecture.

capsulation of subsystems, and provides basic capabilities at each level of the nesting. For instance, a command to “move” could be directed at a motor, appendage, mobile robot, or team. For the Decision Layer, granularity maps to the activities time-line being created and executed. Due to the nature of the dynamics of the physical system controlled by the Functional Layer, there is a strong correlation between its system granularity and the time-line granularity of the Decision Layer.

The blending of declarative and procedural techniques in the Decision Layer emerges from the trend of Planning and Scheduling systems that have Executive qualities and vice versa [31][14]. This has been afforded by algorithmic and system advances, as well as faster processing. CLARAty enhances this trend by explicitly providing for access of the Functional Layer at higher levels of granularity, thus less frequently, allowing more time for iterative replanning. However, it is still recognized that there is a need for procedural system capabilities in both the Executive interface to the Functional Layer, as well as the infusion of procedural semantics for plan specification and scheduling operations. Therefore, CLARAty has a single database to interface Planning and Executive Functionality, leveraging recent efforts to merge these capabilities [16].

The following sections will develop these concepts by providing an overview of features of both the Functional and Decision Layers, as well as the connectivity between them.

### The Functional Layer

The Functional Layer is an interface to all system hardware and its capabilities, including nested logical groupings and their resultant capabilities. These capabilities are the interface through which the Decision Layer uses the robotic system. Figure 3 shows a very simplified and stylistic representation of the Functional Layer. The Functional Layer has the following characteristics:

*Object-Oriented* — Object-oriented software design is desirable for several reasons. First, it can be structured to directly

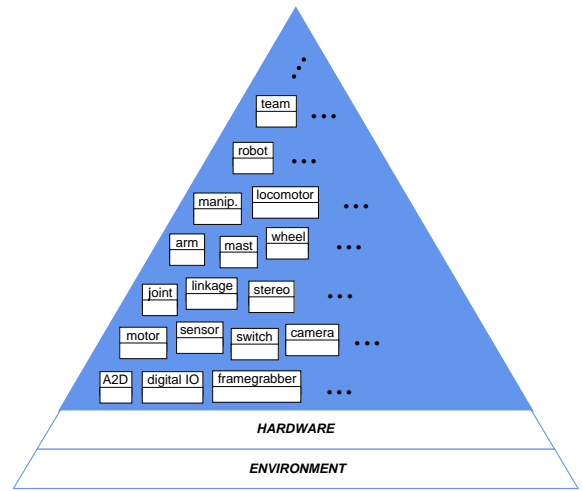


Figure 3. Proposed Functional Layer.

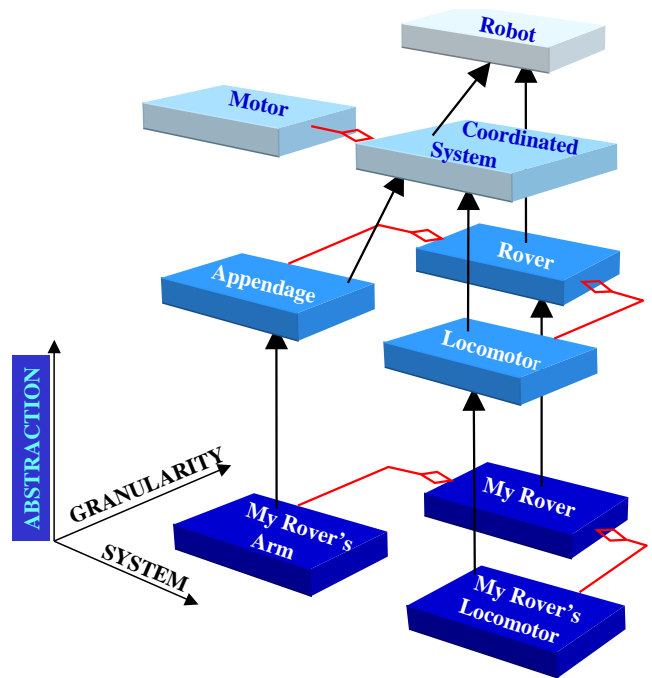


Figure 4. Simple example illustrating object hierarchy and Class inheritance concepts.

match the nested modularity of the hardware in a robotic system. Second, at all levels of this nesting, basic functionality and state information of the system components can be encoded and compartmentalized in its logical place. Third, proper structuring of the software can use inheritance properties to manage the complexity of the software development. Finally, this structure can be graphically designed and documented using the UML standard.

Figure 4 gives a simplified description of the Object-Hierarchy found in the Functional Layer. In this diagram, a fourth *Abstraction* dimension has been added to illustrate the inheritance structure of the classes in the Functional Layer. At the bottom, a rover object aggregates arm and locomotor objects. While these objects comprise a specific *My Rover*

system, each is derived from parent classes which are much more general.

An advantage of this structure is that it makes system extension much easier. First, multiple copies of the objects can be instantiated (e.g. two copies of *My Rover's Arm* — left and right). Second, two child classes may inherit all of the Appendage properties (e.g. *My Rover's Arm* and another class, *Your Rover's Arm*, where the latter is somewhat different from the former).

Moving up the class abstraction hierarchy, inheritance relationships may get more complicated. Both Appendage and Locomotor can have a common parent of Coordinated System, which in turn has the same parent as Rover, called Robot. Also, while the Motor class has no children, it is aggregated into the Coordinated System class. In this way, motor functionality is specified centrally in one object and available at all levels below it in the hierarchy, greatly simplifying software maintenance.

*Encoded Functionality* — All objects contain basic functionality for themselves, accessible from within the Functional Layer, as well as directly by the Decision Layer. This functionality expresses the intended and accessible system capabilities. The purpose of this structure is to hide the implementation details of objects from the higher levels of granularity, as well as providing a generic interface.

To the extent possible, baseline functionality is provided in parent classes, and inherited by the children. These children may replace this functionality or add to it. For instance, in the previous example, the *Appendage* class will contain a generic inverse kinematics method, which can be used by its children. However, *My Rover's Arm* may overwrite this functionality with an closed-form algorithm, optimized for its specific design. In addition, it may add functionality specific to the class, such as *stow()* or *unstow()* methods.

In addition to inheritance of functionality, there is also polymorphic expression of functionality. Typically, one member function name is used in all levels of the hierarchy, representing a capability that is appropriate for that level (e.g. *move*, *read*, *set*, *status*, etc.). Since the Decision Layer can access all levels of the Functional Layer hierarchy, it uses this structure to simplify its interactions at different granularity. For instance, a *move* command issued to the *Rover* object would navigate from one place to another using the *Locomotor*, but without a requirement to follow a straight line or find science targets along the way. If the Decision Layer wanted to do the latter, instead of using the *Rover* interface it would access the *move* of the *Locomotor* directly, while also accessing a science target finder object.

*Resident State* — The state of the system components is contained in the appropriate object and obtained from it by query. This includes state variable values, state machine status, resource usage, health monitoring, etc. In this way, the Decision Layer can obtain estimates of current state or predictions of future state, for use in execution monitoring and planning.

*Local Planners* — Whereas the Decision Layer has a global

planner for optimal decision making, it may utilize local planners that are part of Functional Layer subsystems. For instance, path planners and trajectory planners, can be attached to manipulator and vehicle objects to provide standard capabilities without regard to global optimality. Like all other Functional Layer Infrastructure, the use of such local planners is an option for the Decision Layer.

*Resource Usage Predictors* — Similar to local planners, resource usage prediction is localized to the objects using the resources. Queries for these predictions are done by the Decision Layer during planning and scheduling, and can be requested at varying levels of fidelity. For instance, the power consumption by the vehicle for a particular traverse can be based on a hard-coded value, an estimate based on previous power usage, or a detailed analysis of the upcoming terrain. The level of fidelity requested will be based on time and resource constraints on the planning stage itself, margins available for the time window under consideration, as well as the availability of more detailed estimate infrastructure. In some cases, subordinate objects may be accessed by superior ones in the process of servicing a detailed prediction request.

*Simulation* — In the simplest form, simulation of the system can be accomplished by providing emulation capability to all the lowest level objects that interact with hardware. In this case, the superior objects have no knowledge of whether they are actually causing real actions from the robot. Such simulation is a baseline capability of the architecture. However, it typically can not be done faster than real-time while using the same level of computer resources. Therefore, it is advantageous to percolate simulation capability up to superior objects in the hierarchy. The cost of this is increasing complexity in the simulation computations. For some purposes such complexity may be valuable. But, as with Resource Estimation, levels of fidelity may be specified to provide useful simulation with reduced computation when desired.

*Test and Debug* — For initial development and regression testing as system complexity grows, all objects must contain test and debug interfaces and have external exercisers.

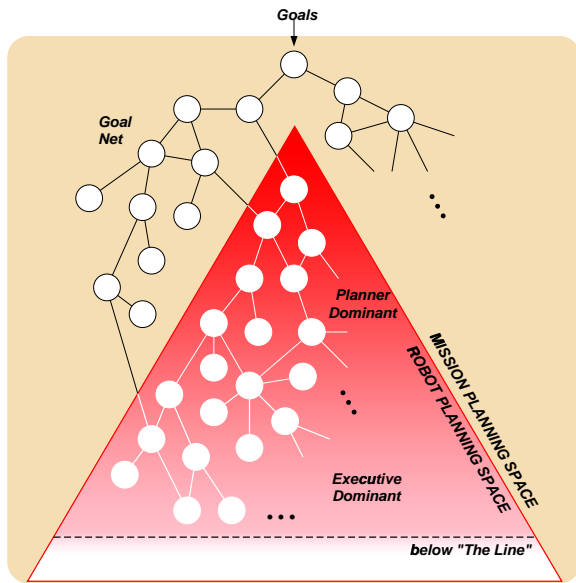
### *The Decision Layer*

The Decision Layer breaks down high level goals into smaller objectives, arranges them in time due to known constraints and system state, and accesses the appropriate capabilities of the Functional Layer to achieve them. Figure 5 shows a very simplified and stylistic representation of the Decision Layer. The Decision Layer has the following characteristics:

*Goal Net* — The Goal net is the conceptual decomposition of higher level objectives into their constituent parts, within the Decision Layer. It contains the declarative representation of the objectives during planning, the temporal constraint network resulting from scheduling, and possibly a task tree procedural decomposition used during execution.

*Goals* — Goals are specified as constraints on state over time. As such they can be thought of as bounding the system and specifying *what shouldn't be done*. An example is:





**Figure 5.** Proposed Decision Layer.

‘the joint angle should not exceed 30 degrees or be less than 20 degrees’. Goals may be decomposed into subgoals during elaboration, and arranged in chronological order during scheduling. Resulting goal nets and schedules may be saved, or recalled [13].

*Tasks* — Tasks are explicitly parallel or sequential activities that are tightly linked. They result from the fixed procedural decomposition of an objective into a sequence, which is possibly conditional in nature. In contrast to Goals, Tasks specify *exactly what should be done* [31]. An example is: ‘the joint angle should be 25 degrees’.

*Commands* — Commands are unidirectional specifications of system activity. Typically they provide the interface between the terminating fringes of the goal net, and the capabilities of the Functional Layer. Closed loop control within the Decision Layer is maintained by monitoring status and state of the system as commands are executed [4].

*The Line* — *The Line* is a conceptual border between Decision-making and Functional execution [13]. It exists at the instantaneous lower border of the elaborated goal net, and moves to different levels of granularity according to the current elaboration. When projected on the Functional Layer, it denotes the border below which the system is a *black box* to the Decision Layer.

*State* — The state of the Functional Layer is obtained by query. The state of the Decision Layer, which is essentially its plan, the active elaboration, and history of execution, is maintained by this layer. It may be saved, or reloaded, in whole or part.

#### Layer Connectivity

Given the two architectural layers, Functional and Decision, there is flexibility in the ways in which these may be con-

nected. At one end of the spectrum is a system with a very capable Decision Layer, and with a Functional Layer that provides only basic services. At the other end of the spectrum is a system with a very limited Decision Layer that relies on a very capable Functional Layer to execute robustly given high level commands. If both a capable Decision and Functional Layer are created then there may be redundancy — however, this is seen as a strength of CLARAty, not a weakness. It allows the system user, or the system itself, to consider the trade-offs in operating with the interface between the layers at a lower or higher level of granularity.

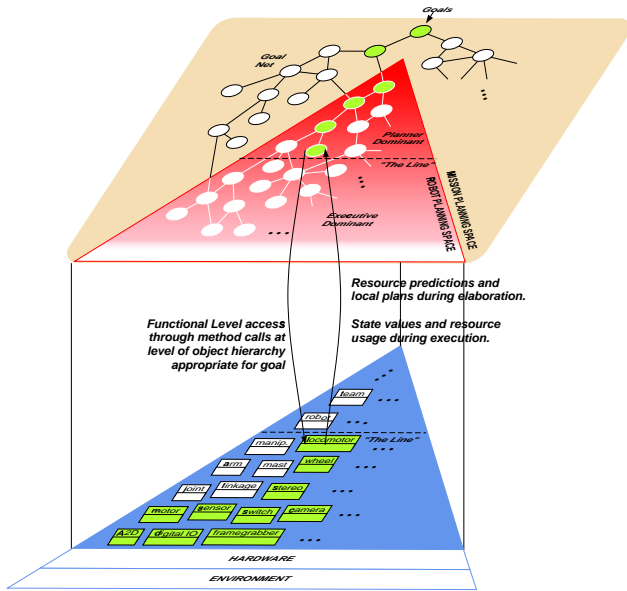
At lower granularity the built in capabilities of the Functional Layer are largely bypassed. This can enable the system to take advantage of globally optimized activity sequencing by the Decision Layer. It also enables the combination of latent functionality in ways that are not provided by aggregation of objects at higher levels of granularity in the Functional Layer. However, it requires that the Decision Layer be aware of all the small details of the system at lower granularity, and have time to process this information. For mission critical operations, it may be worth expending long periods of time to plan ahead for very short sequences of activity. However, this model can not be employed always, since it will force the system to spend a disproportionate amount of time planning, rather than enacting the plans. While the plan may provide optimality during its execution, inclusion of planning time as a cost may force the system be very suboptimal.

To avoid this problem of overburdening the Decision Layer, robust basic capabilities are built into the Functional Layer for all objects in its hierarchies. This allows the interface between the layers to exist at higher granularity. In this case, the Decision Layer need not second guess Functional Layer algorithms, and can also use more limited computing resources. Particularly in situations where resources usage is not near margins, or subsystems are not operating in parallel, it is much more efficient to directly employ the basic encoded functionality. It also directly allows for problem solving at the appropriate level of abstraction of the problem, both for the software and the developers.

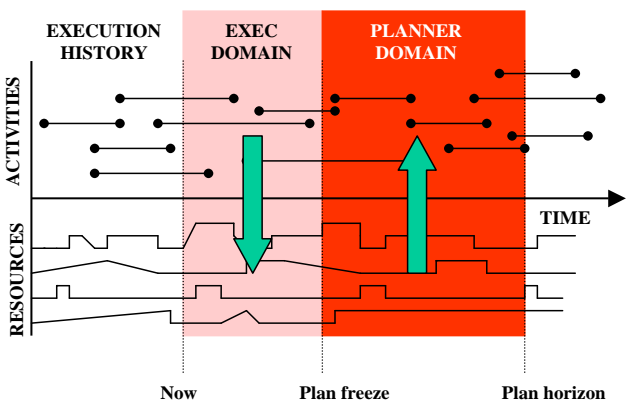
#### Time-line Interaction

The interaction of the two architectural layers, can also be understood by considering the creation and execution of activities on a time-line. Figure 6 shows the two layers with the sequence of activation highlighted in green. In the Decision Layer, high level goals are decomposed into subordinate goals until there is some bottom level goal that directly accesses the Functional Layer. During planning and scheduling, this process occurs for queries of resource usage and local plans. If high fidelity information is requested from the Functional Layer, such as when resource margins are tight, then the Functional Layer object may also need to access its subordinates to improve the predictions.

The resultant activity list and resource usage is placed on a time-line as shown in Figure 7, activities on the top and resource usage on the bottom. Scheduling will optimally order these activities to enable goal achievement while not violating



**Figure 6.** Proposed relationship of Function and Decision Layers.



**Figure 7.** Example of system execution time-line.

resource constraints. This process, however, must be frozen at some point sufficiently far in the future, so that the schedule is self-consistent at the time it is meant to be executed. Also, the time horizon up to which the planning and scheduling is done is limited to constrain the problem. Both of these time boundaries are shown in the figure.

Inside the Plan Freeze boundary, it is the responsibility of an executive to initiate actions by accessing the Functional Layer. This process is illustrated in Figure 6 by the arrows to the Functional Layer, and the green shading of one portion of the object hierarchy it contains. As the actions take place, resources are consumed, typically in slightly different amounts than predicted. The usage is reported to the Decision Layer, where discrepancies possibly trigger conditional parts of the current plan, and are used to modify the future projections of resource availability on the time-line which forces replanning to occur. This cycle is indicated by the large arrows in Figure 7.

The process described is typical of systems where the pro-

cedural components of the executive are separated from the declarative components of planning and scheduling. It is not necessary that the boundary between planning and execution exist at a specific point in time — planning and execution can occur very near to the present, while executive-style procedural decomposition may be incorporated into distant planning. Therefore, the plan freeze boundary in Figure 7 is not required for CLARATy, and the potential cross-coupling of Planner and Executive is one of the primary reasons for merging both into a single Decision Layer. As discussed later, the format of these merged activities, and the interface between them, is currently under development.

Finally, it is important to note that there is also a migration of some executive-style procedural expansion into the Functional Layer as well. Each object has built in functionality which will have a procedural decomposition of its actions, and may have its own mini-executive, or even planner. CLARATy does not preclude this, and allows for this functionality to be leveraged or bypassed, depending on the desire of system designers, and the capabilities of the Decision Layer.

#### 4. IMPLEMENTATION

While the prototyping and implementation of the CLARATy architecture is still in its early stages, some specifications and results are important to mention, illustrating the direction of this work. Below are described some of the tool and standard choices, heritage software that will be included into the framework, and prototyping status at this time.

##### *Tools and Standards*

At this point in time, the following tools and standards have been accepted for CLARATy and its development:

*The Unified Modeling Language* — UML is to be used for system design and documentation. The intent is for full use of UML, including templates.

*C++ Language* — C++ will be used to create CLARATy, due to its wide use in academia and industry, the need for an object-oriented implementation, and the requirements of real-time software implementation.

*OS support* — To provide both real-time software support while allowing for workstation development, CLARATy will be constructed to run under VxWorks, Linux, and Solaris. Extension to other operating systems in the future is possible.

*Standard Template Library* — In the spirit of leveraging off public domain standards employed by the software community, software and specifications such as the Standard Template Library, will be employed where possible.

*Software Development Tools* — While it is possible to build all or parts of CLARATy by writing software directly with a text editor, it is desirable to employ a standard tool for organizing, structuring, and styling the software in a like manner across all developers. Consideration has been given to tools



such as *Rhapsody*<sup>TM</sup> and *Visio*<sup>TM</sup>, but no decision is final. Since it is the desire to not prevent wide participation in use of CLARAty, tools with large costs are not desirable.

*Documentation* — It is important to provide documentation of all components of the system in various forms. The UML was chosen partly for this reason. Other tools for in-line code documentation standardization are being investigated. The intent is to leverage current tools and standards, not to create new ones.

### *Heritage*

While CLARAty is a new architecture design, its design and prototype construction will rely on some important existing infrastructure. First, some of the initial concepts for the Functional Layer object hierarchy were developed by the Planetary Dextrous Manipulators task at JPL [26]. Second, we will use the research rovers *Rocky 7* and *Rocky 8* to frame some of the problems, and as testbeds for prototyped solutions. Third, many years of technology development at JPL and other NASA research facilities have provided valuable software which will be implemented within the CLARAty framework. Among the software slated for inclusion is: JPL stereo vision [40], Carnegie Mellon University and JPL path planning [32][22], estimation [6], planning and scheduling [12], execution decomposition and monitoring [31], and kinematic and dynamics computing [42].

## 5. SUMMARY

This paper has presented our new CLARAty architecture for robotic autonomy software. We have briefly reviewed the history of this topic, potential impediments to success, needs for continued effort, and constraints on acceptable solutions. Given these circumstances, we have presented an evolutionary modification of prior architectural structure, which addresses the needs of merging procedural and declarative planning, while providing an object-oriented encapsulation of system functionality. The new CLARAty structure is, therefore, comprised of Decision and Functional Layers, and a complete overview of each of these, and their interaction, has been provided. Finally, a brief description of current implementation efforts was included.

## 6. ACKNOWLEDGMENTS

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